

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR.
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To re Patent Application of : Initial Patent Examination
: Division
S. Oelcer et al. : Box Missing Parts
:
Serial No.: 10/045,810 : Date: February 19, 2002
:
Filed: January 11, 2002 : Confirmation No.: 2070

COPY OF PAPERS
ORIGINALLY FILED

For: BLOCK CODING FOR MULTILEVEL DATA COMMUNICATION

To: Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63 (in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

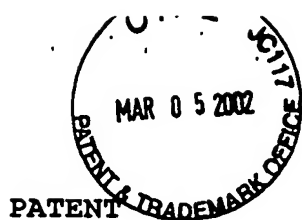
Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, DC 20231

on February 19, 2002

Margaret McCabe
(Print name of person certifying)

Margaret McCabe
(Signature of person certifying)

CH920000087US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination
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For: BLOCK CODING FOR MULTILEVEL DATA COMMUNICATION

RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR
1.63

FILING DECLARATION AND POWER OF ATTORNEY
FILING ASSIGNMENT

To: Commissioner for Patents
Initial Patent Examination Division
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Washington, D.C. 20231

Sir:

On January 11, 2002, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

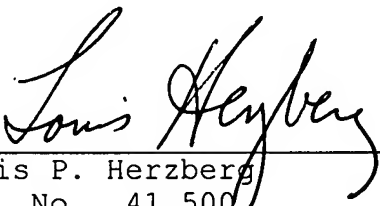
Enclosed at this time is an original declaration, which includes the signatures of the two inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed February 11, 2002, is also attached as per your instructions.

Respectfully submitted,

by 
Louis P. Herzberg
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Tel. (914) 945-2885

IBM Thomas J. Watson Research Center
Intellectual Property Law Department
P.O. Box 218
Yorktown Heights, N.Y. 10598

Attorney Docket: CH920000087US1
Serial Number: 10/045,810



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/045,810	01/11/2002	Sedat Oelcer	CH920000087US1

CONFIRMATION NO. 2070

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598

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FORMALITIES LETTER



OC000000007452491

Date Mailed: 02/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

2/21/02

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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